

1 **Devonian landscape heterogeneity recorded by a giant fungus**

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19 **ABSTRACT**

20 The enigmatic Paleozoic fossil *Prototaxites* Dawson 1859 consists of tree-like trunks as
21 long as 8 m constructed of interwoven tubes <50 μm in diameter. *Prototaxites* specimens from
22 five localities differ from contemporaneous vascular plants by exhibiting a carbon isotopic range,
23 within and between localities, of as much as 13‰ $\delta^{13}\text{C}$. Pyrolysis–gas chromatography–mass

24 spectrometry highlights compositional differences between *Prototaxites* and co-occurring plant
25 fossils and supports interpretation of isotopic distinctions as biological rather than diagenetic in
26 origin. Such a large isotopic range is difficult to reconcile with an autotrophic metabolism,
27 suggesting instead that, consistent with anatomy-based interpretation as a fungus, *Prototaxites*
28 was a heterotroph that lived on isotopically heterogeneous substrates. Light isotopic values of
29 *Prototaxites* approximate those of vascular plants from the same localities; in contrast, heavy
30 extremes seen in the Lower Devonian appear to reflect consumption of primary producers with
31 carbon-concentrating mechanisms, such as cryptobiotic soil crusts, or possibly bryophytes.
32 *Prototaxites* biogeochemistry thus suggests that a biologically heterogeneous mosaic of primary
33 producers characterized land surfaces well into the vascular plant era.

34 **Keywords:** *Prototaxites*, terrestrial ecosystems, isotope geochemistry, Paleozoic, paleobotany,
35 paleoecology.

36 INTRODUCTION

37 From its origin in the Late Silurian more than 420 m.y. ago until the evolution of large
38 trees ~50 m.y. later, *Prototaxites* was the largest organism known to have lived on land (Fig. 1A;
39 GSA Data Repository Fig. DR1¹). It produced unbranched trunks as long as 8 m and 1 m in
40 diameter, constructed only of a relatively homogenous tissue of interwoven tubes of three size
41 classes, 5–50 μm in diameter (Fig. 1B). Although originally described as a conifer (Dawson,
42 1859), its distinctive anatomy is utterly unlike any living or fossil land plant. Subsequent
43 interpretations as a lichen, a red, green, or brown alga, or a fungus (Carruthers, 1872; Church,
44 1919; Jonker, 1979; Hueber, 2001) are also problematic. For example, interpretation of
45 *Prototaxites* as a giant fungal fruiting body (Hueber, 2001) accounts for its hyphae-like anatomy,
46 but remains controversial (e.g., Selosse, 2002) because its sheer size and lack of clear

47 reproductive structures are more difficult to reconcile. The identity of *Prototaxites* may never be
48 proven by anatomy alone (save for consensus it was not a vascular plant); its bizarre form is the
49 very source of its enduring interest. Carbon isotopic and organic analyses of *Prototaxites* fossils
50 provide a morphology-independent assessment of its evolutionary relationships and indirect
51 evidence for the nature of its surrounding ecosystem.

52 The organic composition of fossils can be influenced as much by locality of preservation
53 as by original biology (Abbott et al., 1998), but comparison of multiple specimens within
54 individual localities controls for factors that might influence preserved C isotopic or organic
55 chemistry, including diagenesis and variations in climate, background inorganic $^{12}\text{C}/^{13}\text{C}$, or
56 atmospheric CO_2 concentration (Boyce et al., 2002, 2003). To this end, organic and isotopic
57 comparisons were made between *Prototaxites* and associated vascular plants (two vascular plant
58 derived coals, silicified *Callixylon*, and carbonate-permineralized *Psilophyton*) within one Upper
59 Devonian and two Lower Devonian localities (ca. 375 Ma and 405–400 Ma, respectively).
60 *Prototaxites* isotopes also were analyzed from two Lower Devonian localities for which no other
61 fossils were associated. Carbon isotopes reflect in part the organism's metabolism. Organic
62 analyses further constrain the risk that isotopic composition was unduly affected by differential
63 taphonomic history within a locality. All *Prototaxites* samples are permineralized by silica and
64 preserve anatomy in fine detail, with organic material confined to the tube walls (e.g., Fig. 1C).
65 Samples for isotopic analysis were treated in acid to eliminate any carbonate. Further
66 information concerning samples and methods is in the GSA Data Repository (see footnote 1).

67 **Comparative Geochemistry of Fossils**

68 In the Upper Devonian Kettle Point flora, *Prototaxites* is isotopically similar to the
69 associated woody plant *Callixylon* (and Devonian plants more broadly; Beerling et al., 2002;

70 Boyce et al., 2003), consistent with either a C₃-like photosynthetic organism or a heterotroph that
71 consumed C₃ plants (Fig. 2). In contrast, *Prototaxites* samples from the Lower Devonian
72 (Emsian, ca. 400 Ma) Gaspé south shore flora are either isotopically similar to co-occurring
73 *Psilophyton* and coal or as much as 11‰ heavier. This enormous range is replicated in other
74 Lower Devonian localities: *Prototaxites* isotopes resemble those of C₃ plants at two localities,
75 but are 8‰ heavier than a surrounding coal composed of spiny vascular plant axes at a third
76 locality (Fig. 2).

77 Molecular structural information derived from pyrolysis–gas chromatography–mass
78 spectrometry of the Gaspé coal (Fig. 3) is consistent with a predominance of lignin-derived
79 geopolymers. The strong prevalence of alkylphenols over dihydroxy aromatics (note trace of
80 eugenol) as well as a complete lack of levoglucosan (a pyrolytic product of cellulose) indicates
81 that the original peat was altered diagenetically to high-rank subbituminous to low-rank high
82 volatile bituminous coal. Although Gaspé *Prototaxites* samples also yield predominantly
83 alkylbenzene, alkylphenol, and alkyl-naphthalene moieties, their relative distributions are distinct
84 from the coal and are dominated by alkyl benzenes rather than phenol derivatives. *Prototaxites*
85 and the vascular plant *Callixylon* are similarly distinct at the Upper Devonian locality (Fig. 3). A
86 robust molecular interpretation linking original biochemistry to the specific distribution of
87 molecular species in diagenetically altered material is incomplete even in the well-studied system
88 of vascular plant–derived coal (Hatcher and Clifford, 1997), much less the various potential
89 relatives of *Prototaxites*. However, this consistent predominance of alkyl-phenols versus alkyl-
90 benzenes in organic matter from the same strata and geologic histories must reflect derivation
91 from biochemically distinct original source organisms.

92 Extensive taphonomic alteration of organic C isotopic ratios typically involves loss of
93 compounds or constituent functional groups with distinct biosynthetic fractionations (Benner et
94 al., 1987). *Prototaxites* samples spanning a C isotopic range from -15.6‰ to -26.6‰ are all
95 similarly dominated by alkyl benzenes and are clearly differentiated from a local, vascular plant–
96 derived coal, reflecting differences maintained from their original biochemical inheritance. Any
97 extreme and divergent taphonomic modification between specimens—such as methanogenic
98 decay of some, but not all of the individuals—also should have been reflected in the final organic
99 composition, but is not seen. This, along with the uniformly high quality of anatomic
100 preservation, argues that isotopically distinct populations record underlying features of original
101 physiology, not differential taphonomy.

102 **Biological Affinity of *Prototaxites***

103 For each *Prototaxites* sample, photosynthetic organisms with similar isotopic
104 discriminations can be identified: lighter values are consistent with terrestrial C_3 photosynthesis
105 and heavier values are consistent with various groups with carbon-concentrating mechanisms.
106 Nonetheless, the overall isotopic range of the *Prototaxites* population is difficult to reconcile
107 with autotrophy. C_4 and CAM [\[\[Q: spell out CAM first time used?\]\]](#) plants concentrate carbon,
108 but in neither does isotopic variation resemble that of *Prototaxites* (O’Leary, 1988). Macrophytic
109 marine algae can accommodate a larger range of values (Raven et al., 2002), but *Prototaxites* is
110 usually preserved in terrestrial deposits (Griffing et al., 2000; Hotton et al., 2001), and both
111 ecological and geochemical arguments suggest that it was subaerial (Niklas, 1976; Edwards and
112 Richardson, 2000; Hueber, 2001). Moreover, the broad isotopic spread of algae is related to
113 variations in inorganic carbon source—ranging from HCO_3^- pumping to aqueous diffusion of

114 CO₂—unlikely to be encompassed by a single population, particularly of large terrestrial
115 organisms.

116 Both CO₂ limitation and a shift in background inorganic ¹³C/¹²C could result in more
117 enriched values within an organism, but neither was likely in a Lower Devonian world with an
118 atmospheric CO₂ concentration of 8–10 times modern levels (McElwain and Chaloner, 1995)
119 and C isotopic values of 0‰ to +2‰ for marine carbonates (Veizer et al., 1999), and neither
120 could explain observed isotopic variation within a single assemblage. Rather, the large C isotopic
121 range measured for Lower Devonian *Prototaxites* strongly suggests that this organism was a
122 heterotroph that lived on isotopically distinct substrates: in this context, a fungus. Given its
123 survival of fluvial transport and deposition (Griffing et al., 2000), *Prototaxites*, if fungal, was
124 more akin to a robust, perennial bracket fungus than an ephemeral mushroom.

125 **Early Devonian Ecosystems**

126 The isotopic range of Lower Devonian *Prototaxites* is difficult to reconcile with
127 consumption of a uniform photosynthetic substrate. Lower Devonian terrestrial faunas were
128 vertebrate free and consisted primarily of arthropod detritivores and predators (Shear and Selden,
129 2001), so trophic enrichment is an unlikely source for variation. Substantial isotopic distinctions
130 between fungi growing on the same substrate could result from digestion of different
131 biochemical components (Hobbie et al., 1999), such as cellulose versus lignin—as in brown and
132 white wood rots. However, most Devonian fungi are small and contained within the host (Taylor
133 et al., 2004) and only white rot is known among the larger fungi capable of extensive
134 translocation (Stubblefield and Taylor, 1988). Furthermore, distinct saprophytic metabolisms are
135 typically employed by different higher-level fungal lineages (Eriksson et al., 1990), not different
136 individuals of the same population. Even if distinct metabolisms were assumed for *Prototaxites*

137 individuals, 4‰–8‰ would be the maximum expected isotopic range for degradation of distinct
138 plant components (Benner et al., 1987), not the 11‰ seen among Gaspé specimens.

139 Depleted *Prototaxites* isotopic values are consistent with consumption of C₃ land plants,
140 but enriched Early Devonian specimens require consumption of autotrophs with a carbon-
141 concentrating mechanism. All CAM and C₄ plants appeared long after the Devonian. Terrestrial
142 lichens have intermediate C isotope discrimination, whether with chlorophyte or cyanobacterial
143 symbionts, and are not consistent with enriched *Prototaxites* values (Jahren et al., 2003; Fletcher
144 et al., 2004). Most bryophytes are even more depleted than C₃ tracheophytes (Jahren et al., 2003;
145 Fletcher et al., 2004), but the enriched *Prototaxites* values can be approached by some hornworts
146 when water saturated due to a pyrenoid-based carbon-concentration mechanism (Smith and
147 Griffiths, 1996). Hornworts are unknown before the Cretaceous, but stem-group embryophytes in
148 general extend back at least to the Ordovician (Gray, 1993; Edwards et al., 1995; Wellman et al.,
149 2003).

150 Enriched *Prototaxites* isotopic values are broadly consistent with consumption of
151 cyanobacteria-dominated microbial soil crusts (Evans and Belnap, 1999). Moreover, mats can be
152 prolific sources of sugars, a preferred substrate for fungal growth that tends to have ¹³C enriched
153 relative to total biomass (van der Meer et al., 2003). Today, microbial crusts and bryophytes
154 dominate only where vascular plants are excluded (Campbell, 1979; Evans and Belnap, 1999),
155 but they were likely distributed broadly prior to vascular plant evolution (Horodyski and Knauth,
156 1994; Tomescu and Rothwell, 2006). These alternative sources of primary production are rarely
157 considered for ecosystems that postdate the Silurian appearance of vascular plants, except for
158 some mention of intercalation among vascular plant dominants and debate over how rapidly
159 vascular plants spread from wet lowland environments (Griffing et al., 2000; Edwards and

160 Richardson, 2004). Sedimentology may constrain this transition (Retallack, 1985; Love and
161 Williams, 2000), but the overall narrative is driven by a megafossil record dominated by vascular
162 plants, rather than any positive evidence for displacement of other primary producers. Given
163 prodigious nutrient translocation in fungal mycelia (Boswell et al., 2002), consumption of a
164 substrate consisting of soil crusts intercalated between vascular plants would result in a
165 *Prototaxites* of an averaged intermediate isotopic composition, as would an ephemeral
166 cyanobacterial scum before vascular plants are reestablished after disturbance. Instead, enriched
167 *Prototaxites* values suggest a strict absence of C₃ photosynthesis in persistent, spatially
168 contiguous landscape patches (perhaps quite large given the potential of modern colonies; Smith
169 et al., 1992). One-third of our upper-Lower Devonian *Prototaxites* specimens provide an isotopic
170 record of heterotrophic growth on a nonvascular, non-C₃ substrate, 30–40 m.y. after the Silurian
171 appearance of vascular plants, sampling communities that otherwise would have little chance of
172 fossil preservation. Isotopic analysis of terrestrial arthropods may provide independent evidence
173 for varied sources of Devonian primary production and, together with further sampling of
174 *Prototaxites*, may reveal changing patterns of substrate use through time.

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300 **FIGURE CAPTIONS**

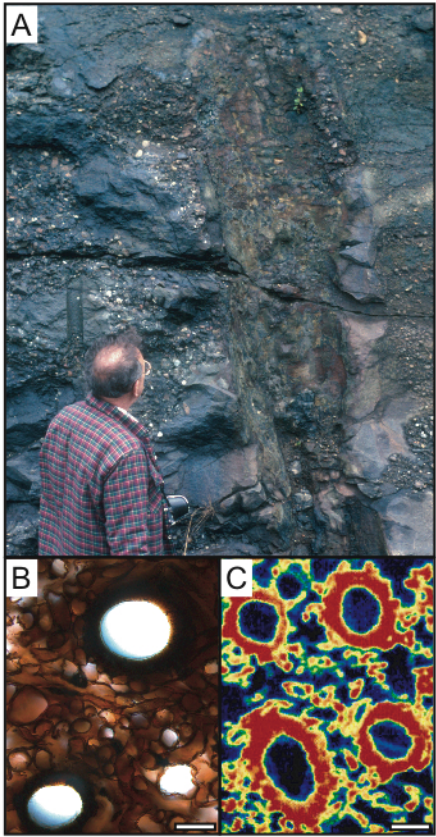
301 Figure 1. A: Lower Devonian *Prototaxites* fossil in situ, Bordeaux Quarry, Quebec. B: Optical
302 image of carbon abundance of *Prototaxites* anatomy in cross section. Scale bar = 20 μm . C:
303 Electron probe map of carbon abundance of *Prototaxites* anatomy in cross section. Scale bar =
304 20 μm . In electron probe map, red indicates high and blue-black indicates low abundance of
305 carbon, qualitatively demonstrating confinement of organic matter to tube walls. **[[Q: In figure**
306 **A, person in photo could be sitting or standing, so scale really should be more specific;**
307 **would be helpful if specific area of fossil was indicated or outlined]]**

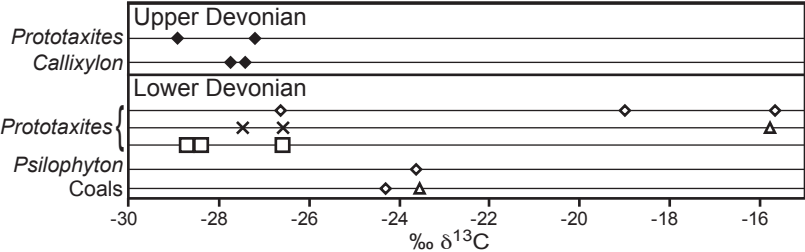
308
309 Figure 2. Carbon isotopic values for *Prototaxites* and associated vascular plants *Callixylon* and
310 *Psilophyton* and coal. Upper Devonian fossils are from Kettle Point, Ontario (Frasnian–lower
311 Fammenian). Lower Devonian (primarily Emsian) fossils are from south shore of Gaspé
312 Peninsula, Quebec (diamonds), north shore of Gaspé Peninsula (squares), Baxter State Park,
313 Maine (Xs), and Pin Sec Point, New Brunswick (triangles). Each symbol represents average of
314 two samples from single specimen. Based on acetanilide standards, analytical error associated
315 with each measurement is $\pm 0.2\%$. Details in Table DR1 (see footnote 1).

316

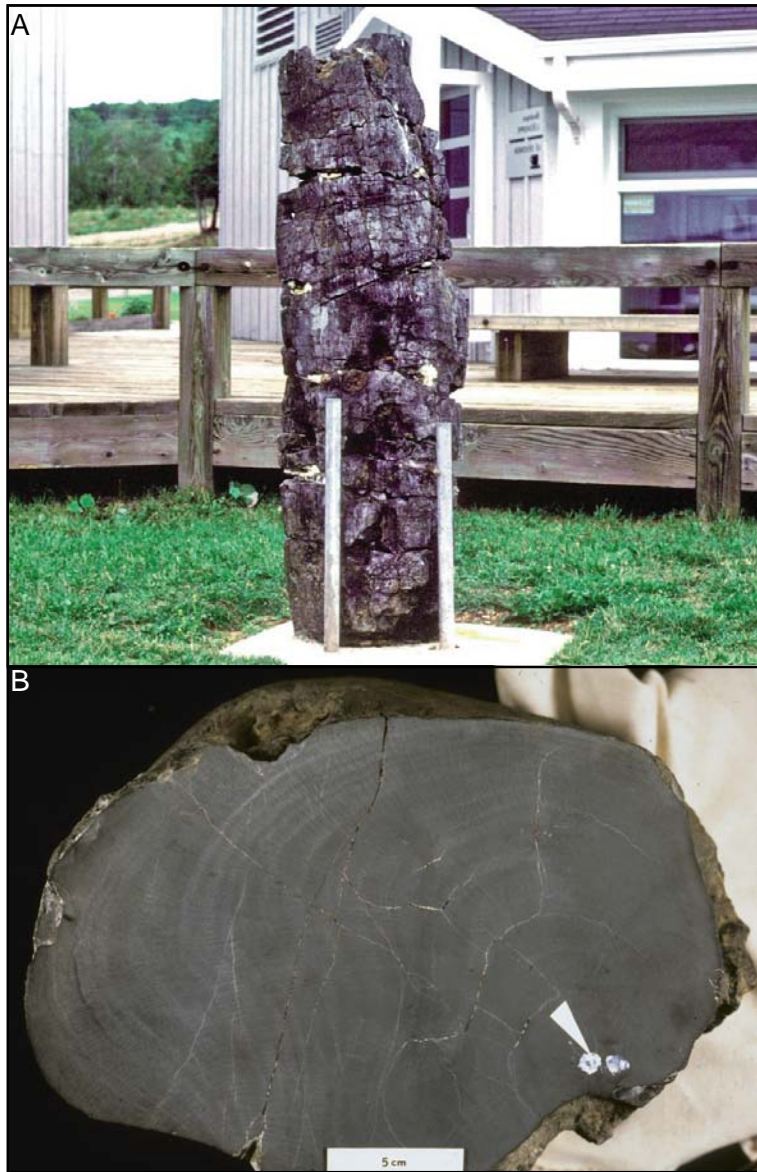
317 Figure 3. Stacked gas chromatography–mass spectrometry (GC-MS) chromatograms of
318 pyrolysate (plotted as total ion count vs. retention time) of Lower Devonian Gaspé and Upper
319 Devonian Kettle Point samples. Identities of various molecular groups are highlighted and
320 references cited in legend. Labeled contaminants are polydimethyl siloxane products resulting
321 from reaction of HCl released from pyrolyzed minerals with various internal septa of GC-MS;
322 they could not have contributed to isotopic measurements because they are not present in original
323 samples. **[[Q: There are no references cited “in legend” in figure; should this be**
324 **“Identities...are highlighted and defined in legend”? Or “...highlighted and annotated...”?**
325 **Note that peninsula should be uppercase; should be 1-ems in key; seconds should be s.]]**

326
327 ¹GSA Data Repository item 2007xxx, Figure DR1 and Table DR1, is available online at
328 www.geosociety.org/pubs/ft2007.htm, or on request from editing@geosociety.org or Documents
329 Secretary, GSA, P.O. Box 9140, Boulder, CO 80301, USA. **[[Q: any other item to be listed?**
330 **(Line 66 mentions “samples and methods”; is there a separate appendix?). Need item**
331 **descriptions.]]**





SUPPLEMENTAL FIGURE 1



Supplemental Figure 1. A, Fragment of a permineralized *Prototaxites* trunk displayed at Parc de Miguasha, from the Lower Devonian Bordeaux Quarry, near Cross Point, Quebec, Canada, approximately 1.5 m high. B, Portion of a large permineralized *Prototaxites* trunk in cross section showing the concentric banding of peripheral accretionary growth. White arrow indicates center of the axis. Specimen from Bordeaux Quarry (Parc de Miguasha collection).

METHODS

Samples for isotopic and organic analyses were obtained from permineralized fossils and powdered with mortar and pestle. Powdered samples for isotopic analysis were treated with 5% HCl to eliminate the possibility of carbonate contamination. All tools were cleaned by sonication in hexane for 15 minutes before use, except for the delicate sample boats for isotopic analyses which were sonicated in hexane for 1 minute. Fossils were washed with hexane but not sonicated. The surfaces of fossils and all equipment were rinsed with ethanol and allowed to air dry after the collection of each sample.

Isotopic measurements were made with a Finnigan Delta Plus Excel isotope ratio mass spectrometer with a CE Instruments, NA 2500 series, elemental analyzer and a Conflo II interface. The gas chromatograph oven was set to 60° C for the fossil samples. Acetanilide standards were only included at the beginning of each set of analyses (followed by 2 or 3 blank sample boats) and at the end after all fossil samples had been run in order to eliminate the possibility that trace residue from the carbon-rich acetanilide standards might contaminate fossil samples.

Pyrolysis Gas Chromatography-Mass Spectrometry (GC-MS) was performed with an Agilent 6890 GC interfaced with an Agilent 5972 quadrupole mass spectrometer. Samples were pyrolyzed using a CDS-1000 pyroprobe where 0.5-3 mg samples were heated to 715 °C with a heating rate of 500 °C/sec under helium at the injection port of the GC. Chromatography was performed with a 50 % phenyl polydimethylsiloxane stationary phase column.

Maps of elemental composition in standard fossil thin sections obtained using a JEOL 8900 electron microprobe with five wavelength dispersive spectrometers. Electron probe measurements interact only with the sample surface, are no more than semi-quantitative, and are intended only to illustrate confinement of carbon to the organic tube walls and absence of

dispersed carbonate (which would recognizably dwarf organic carbon concentrations if present). Analyses were performed at 15 KeV. Following modifications of standard procedures described previously (Boyce et al. 2001), samples were aluminum coated and an increased electron beam current of approximately 300 nA was employed in order to enhance detection of organic carbon.

Reference cited:

Boyce, C.K., Hazen, R.M., and Knoll, A.H., 2001, Nondestructive, in situ, cellular-scale mapping of elemental abundances including organic carbon in permineralized fossils: *Proceedings of the National Academy of Sciences*, v. 98, p. 5970-5974.

TABLE 1. SAMPLES AND CARBON ISOTOPIC COMPOSITION

Age*	Locality†	Specimen§	Curation#	$\delta^{13}\text{C}$ (‰)
Frasnian/ Famennian	Kettle Point (ON)	<i>Prototaxites southworthii</i>	HBM 55852	-28.99 -28.83
Frasnian/ Famennian	Kettle Point (ON)	<i>Prototaxites southworthii</i>	USNM 510202	-27.87 -26.49
Frasnian/ Famennian	Kettle Point (ON)	<i>Callixylon newberryi</i>	USNM (unnumbered) Southworth collection	-27.79 -27.68
Frasnian/ Famennian	Kettle Point (ON)	<i>Callixylon newberryi</i> **	USNM (unnumbered) Southworth collection	-27.51 -27.27
L.Emsian/ E.Eifelian	Baxter State Park (ME)	<i>Prototaxites</i> sp.	USNM (unnumbered) Hueber collection	-26.56 -26.56
L.Emsian/ E.Eifelian	Baxter State Park (ME)	<i>Prototaxites</i> sp.	USNM (unnumbered) Hueber collection	-27.82 -27.07
Emsian	Pin Sec Point (NB)	<i>Prototaxites loganii</i>	USNM 510099	-15.69 -15.83
Emsian	Pin Sec Point (NB)	Coal (of cf. <i>Sawdonia</i>)	USNM (unnumbered) Hueber locality 91-10	-23.23 -23.84
M./L.Emsian	Gaspé peninsula, North Shore (QC)	<i>Prototaxites loganii</i>	USNM (unnumbered) Hueber collection	-28.75 -28.10
M./L.Emsian	Gaspé peninsula, North Shore (QC)	<i>Prototaxites loganii</i>	USNM (unnumbered) Hueber collection	-28.61 -28.76
M./L.Emsian	Gaspé peninsula, North Shore (QC)	<i>Prototaxites loganii</i>	USNM (unnumbered) GSC locality†† 5388	-26.59 -26.59
M./L.Emsian	Gaspé peninsula, South Shore (QC)	<i>Prototaxites loganii</i>	USNM (unnumbered) Hueber locality 66-8	-26.60 -26.62
M./L.Emsian	Gaspé peninsula, South Shore (QC)	<i>Prototaxites loganii</i>	USNM 510202	-18.88 -19.07
M./L.Emsian	Gaspé peninsula, South Shore (QC)	<i>Prototaxites loganii</i>	USNM (unnumbered) SUNYB†† 1146.C-1.1	-15.64 -15.68
M./L.Emsian	Gaspé peninsula, South Shore (QC)	<i>Psilophyton princeps</i>	USNM (unnumbered) Hueber locality 66-8	-24.57 -22.58
L.Pragian/ E.Emsian	Gaspé peninsula, South Shore (QC)	Coal (of cuticularized axes)	USNM (unnumbered) Hueber locality 66-6	-24.32 -24.23

*E-Early, M-Middle, L-Late.

†ME-Maine, United States; NB-New Brunswick, ON-Ontario, QC-Quebec, Canada.

§All specimens silica permineralized (including Pin Sec Point coal) except for the unmineralized Gaspé coal and the Gaspé *Psilophyton*, which is permineralized in carbonate.

#All specimens loaned from USNM-Smithsonian National Museum of Natural History or HBM-Harvard Botanical Museum.

**Wood specimen with some fungal decay.

††GSC-Geological Society of Canada; SUNYB-State University of New York, Binghamton.